

# In the United States Patent and Trademark Office



Filed:

09/591,015 June 9, 2000

Title:

CELLULAR WIRELESS RE-USE STRUCTURE THAT ALLOWS

SPATIAL MULTIPLEXING AND DIVERSITY COMMUNICATION

Applicant(s):

Sebastian, et al.

Examiner:

Not Yet Assigned

Art Unit:

2744

### **Information Disclosure Statement**

RECEIVED

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

DEC 1 5 2000

**Technology Center 2600** 

Dear Sir:

Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon. It is requested that the document(s) on the enclosed form be made of record.

## Part I (Authority)

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

> This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

> Accordingly, this information disclosure statement requires no fee and no certification.

37 C.F.R. § 1.97(c). ( )

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$240), or a certification according to 37 C.F.R. § 1.97(e).

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() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

#### **Conditional Petition**

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

#### Part II (Payment)

A check is enclosed as indicated:

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$240).
- ( ) The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130).

#### Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
  - () The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.

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- () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

# Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed. Copies of the cited art (X) are enclosed, () are of record in parent application Serial No. \_\_\_\_\_ and will be provided if the Examiner deems it convenient.

Date: <u>December 7</u>, 2000 <u>Brian R. Short Brian R. Short Esq.</u>

Reg. No. 41,309

Form 1449 (Modified)	Atty. Docket No.	Application No.:		
	P110US	09/591,015		
Information Disclosure	Applicant: Sebastian, et al.			
Statement By Applicant				
	Filing Date	Group		
(Use Several Sheets if Necessary)	June 9, 2000	2744		

## **U.S. Patent Documents**

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	5,815,488	9/29/98	Williams, et al.			
	В	5,933,421	8/3/99	Alamouti, et al.			
	C	6,064,662	5/16/00	Gitlin, et al.			
	D	6,144,711	11/7/00	Raleigh, et al.			

# Foreign Patent or Published Foreign Patent Application

Examiner	<u> </u>	Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	E	WO98/09381	05/03/98	WIPO				

## Other Documents

Examiner				
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
	F	Paulraj, A., Taxonomy of space-time processing for wireless networks, IEE		
		Proc – Radar Sonar Navig., Vol. 145, No. 1, February 1998.		
Examiner		Date Considered		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.